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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Washington, D.C., United States of America

In re Application of Sprick

Serial No.: 09/933,919

Filed: 08/21/01

For: Closure Having An Improved Thread Design

**INFORMATION DISCLOSURE STATEMENT UNDER 1.97(b)**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR §1.56, the references listed below are being brought to the attention of the Examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

**U.S. REFERENCES CITED:**

<u>PATENT NO.</u>	<u>INVENTOR</u>	<u>DATE</u>
6,102,233	Montgomery	August 15, 2000
6,047,840	Moore et al.	April 11, 2000
5,785,195	Zwemer et al.	July 28, 1998
5,292,020	Narin	March 8, 1994
4,913,299	Petro	April 3, 1990
4,798,303	Arnold	January 17, 1989
4,754,892	Retief	July 5, 1988
4,697,715	Beruvides	October 6, 1987
4,674,643	Wilde et al.	June 23, 1987
4,193,509	Dunn, Jr. et al.	March 18, 1980
3,987,921	Aichinger	October 26, 1976

1,916,693

Scribner

July 4, 1933

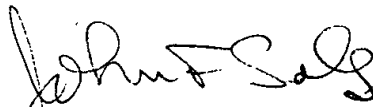
FOREIGN REFERENCES CITED (ENGLISH VERSION):

<u>PATENT ABSRACT</u>	<u>INVENTOR</u>	<u>DATE</u>
0 232 856	Yoshiro	August 19, 1987
2 565 939	Bonvin	December 20, 1985
GB 1,139,018	Lindstrom	January 8, 1969
GB 1,172,608	Evans et al.	December 3, 1969

**Certification Under 37 CFR §1.97(b)**

This information is being submitted before the mailing of the first office action.

Respectfully submitted,

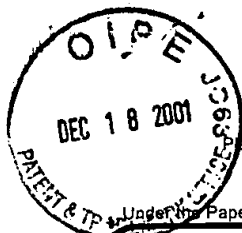


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Date: November 20, 2001

Encl: Form PTO/SB/21; PTO/SB/08A (including a copy of the references); Return postcard for evidencing receipt of above items

GP 3727

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/933,919

Filing Date 08/21/2001

First Named Inventor Sprick

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number CG-614 CIP

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Transmittal Letter
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Art
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John F. Salazar, Esq. Reg. No. 35, 393
Signature	
Date	11/20/2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as **first class** mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/20/2001

Typed or printed name	Doris Otto
Signature	
Date	11/20/2001

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